

# Performance of Cognitive Radio Sensor Networks Using Hybrid Automatic Repeat ReQuest: Stop-and-Wait

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## Abstract

The enormous developments in the field of wireless communication technologies have made the unlicensed spectrum bands crowded, resulting uncontrolled interference to the traditional wireless network applications. On the other hand, licensed spectrum bands are almost completely allocated to the licensed users also known as Primary users (PUs). This dilemma became a blackhole for the upcoming innovative wireless network applications. To mitigate this problem, the cognitive radio (CR) concept emerges as a promising solution for reducing the spectrum scarcity issue. The CR network is a low cost solution for efficient utilization of the spectrum by allowing secondary users (SUs) to exploit the unoccupied licensed spectrum. In this paper, we model the PU’s utilization activity by a two-state Discrete-Time-Markov Chain (DTMC) (i.e., Free and busy states), for identifying the temporarily unoccupied spectrum bands,. Furthermore, we propose a Cognitive Radio Sense-and-Wait assisted HARQ scheme, which enables the Cluster Head (CH) to perform sensing operation for the sake of determining the PU’s activity. Once the channel is found in free state, the CH advertise control signals to the member nodes for data transmission relying on Stop-and-Wait Hybrid- Automatic Repeat-Request (SW-HARQ). By contrast, when the channel is occupied by the PU, the CH waits and start sensing again. Additionally, the proposed CRSW assisted HARQ scheme is analytical modeled, based on which the closed-form expressions are derived both for average block delay and throughput. Finally, the correctness of the closed-form expressions are confirmed by the simulation results. It is also clear from the performance results that the level of PU utilization and the reliability of the PU channel have great influence on the delay and throughput of CRSW assisted HARQ model.

**Keywords** Primary user detection modeling · Cognitive radio sensor networks · Wireless sensor networks · SW-HARQ · CRSW assisted HARQ model

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## 1 Introduction

The 21<sup>st</sup> century has witnessed exponential growth in innovative wireless applications. These applications have fulfilled the demand of users. However, they have dramatically increased the tele-traffic as well as the usage of electromagnetic spectrum, particularly the sub – 2 GHz frequency bands [1]. The expansion in wireless services leads to the dilemma of spectrum scarcity. To solve the spectrum shortage issue, spectrum regulatory bodies such as Federal Communication Commission (FCC) of the United States (US) and the European Telecommunications Standards Institute (ETSI), have investigated the spectrum utilization in various countries at different time intervals [2–7]. These studies revealed that the electromagnetic spectrum is not physically limited but improperly allocated. The inappropriate

Q1

Q2

15 allocation is due to the static allocation policy, using which  
16 the spectrum band is exclusively assigned to the licensed  
17 users, also known as primary users (PUs). The PUs are those  
18 users who pay for the license and are only authorised to  
19 use the assigned spectrum band. For example, the TV sta-  
20 tions and cellular users are considered as PUs. The studies  
21 of [2–5] have demonstrated that 15–85% of the licensed  
22 spectrum are underutilized due to the current static spec-  
23 trum allocation policy, resulting in spectrum scarcity. To  
24 overcome this dilemma, the concept of dynamic spectrum  
25 allocation (DSA) has been proposed which allows the unli-  
26 censed users to find the free spectrum, access it and use  
27 for data transmission without influencing the legal rights of  
28 PUs [2, 8–10]. This technique leads to the emergence of  
29 cognitive radio (CR) concept, which is widely accepted for  
30 solving spectrum scarcity problems.

31 The term cognitive radio was first coined by Joseph  
32 Mitola in 1999 [11], to solve the problem of spectrum  
33 scarcity by efficient utilization of the licensed spectrum.  
34 According to [12], the CR as a context-aware intelligent  
35 radio has the ability to learn from the environment and  
36 dynamically re-configure its transceiver, according to the  
37 communication environment. Using these capabilities, the  
38 CUs sense the licensed spectrum and transmit their data  
39 only when the spectrum band is free from PUs. However,  
40 each CU has to vacate the licensed spectrum band upon a  
41 PU arrival. The CR concept has been elaborated in [13–  
42 16]. These capabilities encouraged the regulatory bodies to  
43 officially allow the CR concept for maximising spectrum  
44 exploitation. In this regard, the phenomenon of CR has  
45 been widely adopted by various wireless standards like  
46 IEEE 802.11y, 802.16h, 802.22 and 1900, which has been  
47 thoroughly studied in [17].

48 In literature, various aspects of CR such as working  
49 cycles, designing architecture, spectrum sensing, spectrum  
50 sharing, spectrum management, cooperative sensing etc [14,  
51 15, 18–21] have widely been studied. However, limited  
52 studies have been conducted in the direction of reliable data  
53 transmission. In contrast to conventional wireless systems,  
54 in CR systems, the reliability of data transmission is not  
55 merely dependant on channel quality but also upon the  
56 activity pattern of PUs. Hence, it is highly important to  
57 accurately model the PU activity on the channel [22–  
58 24]. There are numerous work performed on PU and  
59 channel modeling. In our previous studies [25–29], we  
60 have modelled the activities of PU over the channel using  
61 discrete-time-Markov chain (DTMC), in which each state  
62 represents the status of a channel. For instance, when the  
63 channel is deemed to be free from PUs, it is assumed to be  
64 free for CR and vice versa. Following our previous work, in  
65 this paper, we also modelled the PU's channel by two-state  
66 Markov chain having *free* and *busy* states. To be precise,  
67 *busy* state depicts that the channel is occupied by the PU

while *free* state represents the scenario in which the channel  
is free from PU and the CR uses it for data transmission.

Secondly, the CR systems face challenges similar to  
conventional wireless systems, such as noise, interference,  
fading etc [30, 31]. Apart from these challenges, the  
CR systems have to face the dynamic activities of PUs,  
resulting in more complex CR systems. In this regard,  
HARQ schemes remained a favourable choice for designing  
a reliable data transmission scheme. For instance, the  
authors in [32] studied the performance of HARQ in  
a third generation partnership project (3GPP) long term  
evolution (LTE) specification over OFDMA system. D.  
Nguyen et al. [33] introduced the idea of allowing a  
transmitter to combine and retransmits the lost packets in  
such a way that the receiver recovers the packets from a  
single received copy. Ngo and Hanzo [34] surveyed the  
HARQ techniques in the context of cooperative wireless  
communication and a novel relay-switching technique was  
proposed for enhancing the system's throughput. The  
HARQ-based techniques are standardized by IEEE, for  
example, 802.20, 802.16m and 802.16.1 [35–37].

Paucity of studies have take place in the direction of  
achieving a reliable data transmission in CR systems. For  
instance, the studies in [38–50] have assumed a reliable  
data transmission without considering the dynamic activity  
of the PU and its impact on the CR systems. In this regard,  
in our previous studies [25–29], we have incorporated  
various HARQ techniques in CR systems, assuming only  
a transmitter and a receiver. In contrast to our previous  
studies, in this paper, we considered an *ad hoc* CR-based  
sensor network, comprises of a cluster head (CH) and  
member nodes. The CH performs sensing and once it deems  
a free time-slot (TS), it initiates and broadcasts a clear-  
to-send (CTS) signal to the member nodes and waits for  
the response. The member nodes respond with a join-  
request and the CH selects the member node for data  
transmission, based on first-come-first-serve principle. The  
selected member node then starts transmitting its data, using  
a stop-and-wait HARQ approach. On the other hand, when  
the channel is found to be in *busy* state, the CH remains  
silent and starts sensing again in the subsequent TS. This  
process continues until a free TS is found.

This paper mainly focuses on the efficient utilization of  
licensed spectrum by allowing CR-based member nodes to  
use a PU channel, which results in a higher throughput and  
a lower delay. We have proposed a CRSW-assisted HARQ  
model for solving the problem of inefficient utilization of  
the spectrum. The main contributions of this paper are as  
follow.

- Designing and modeling a CR-based *ad hoc* network,  
assisted by a HARQ scheme for the sake of introducing  
the cognitive capabilities with the conventional SW-HARQ

120 scheme and apply it to sensor network to attain a  
 121 reliable data delivery.  
 122 – The CRSW-assisted HARQ model is analytically  
 123 modelled using a probabilistic based approach, using  
 124 which closed-form expressions for *average packet*  
 125 *delay* and *throughput* are derived.  
 126 – Theoretical results of CRSW-assisted HARQ model  
 127 are validated through simulations using MATLAB.  
 128 Moreover, the probability distribution, based on *end-to-*  
 129 *end packet delay*, is investigated through Monte Carlo  
 130 simulations.

131 The remaining paper is organized as follows. In  
 132 Section 2, the system model is elaborated, with primary user  
 133 system and assumptions in Section 2.1, whereas Section 2.2  
 134 describes modeling of cognitive radio sensor networks. The  
 135 cognitive radio sense and wait assisted HARQ is discussed  
 136 in Section 2.3, operation of a cluster head in Section 2.4,  
 137 and operation of a sensor node in Section 2.5. Analysis of  
 138 the CRSW assisted HARQ model is discussed in Section 3,  
 139 delay analysis is performed in Section 3.1, and throughput  
 140 analysis in Section 3.2, followed by results and discussion  
 141 in Section 4. Finally, Section 5 elaborates future research  
 142 scope and concludes the paper.

143 **2 System model**

144 In this section, we consider step-by-step description of the  
 145 system model and assumptions.

146 **2.1 Primary user system and assumptions**

147 In this paper, we consider a wireless channel which is  
 148 exclusively allocated to the PU. For simplicity, we assume  
 149 the channel is divided into equal length time slots (TS),  
 150 where each has a duration of  $T$  seconds, as illustrated in  
 151 Fig. 1. In our proposed model, the PU is synchronized  
 152 to access the channel, based on the TSs. Hence, PUS  
 153 transmission resemble with the starting and ending of a TS.  
 154 For example, as depicted in Fig. 1, if a TS is sensed and the  
 155 activity of the PU is deemed, then PU remains active until  
 156 the end of TS. However, if a TS is found unoccupied by the  
 157 PU, then TS remains unoccupied till the end of its duration.  
 158 With the help of the above procedure, the PU's transmission  
 159 always occur in the integer multiple of TSs, which means  
 160 the transmission is always equal to 1, 2, 3, ... but not in  
 161 floating points.

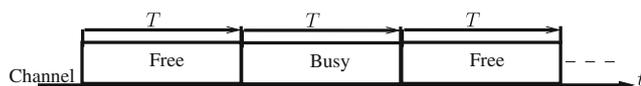


Fig. 1 TS of equal length, Busy or Free period

162 Moreover, preserving the legal rights of the PU, it can  
 163 utilize any TS independently with the same probability.  
 164 Hence, based on these assumptions, the activity of PU  
 165 over a wireless channel may be modeled by a Discrete-  
 166 Time Markov Chain (DTMC) having two states (free, busy),  
 167 where the state to state traversing probabilities are depicted  
 168 in Fig. 2. Specifically, the 'free' state symbolizes that the PU  
 169 is inactive, whereas, the 'busy' state shows that the channel  
 170 is occupied by the PU at the respective TS.

171 Following the properties of DTMC, a TS could be  
 172 in any state at time  $t$ , i.e.,  $S(t) \in \{free(t), busy(t -$   
 173  $1), \dots busy(1)\}$ . In other words, it can be expressed as

$$S = \{S_1, S_2\}. \tag{1}$$

174 where state  $S_1$  represents a free TS and state  $S_2$  denotes  
 175 a busy TS. To determine the state of the TS at time  $t$ , we  
 176 have the condition probability that the TS was in state  $S_j$   
 177 at TS  $(t + 1)$ , given that it was in  $S_i$  in TS  $t$ , which can be  
 178 mathematically formulated as

$$\begin{aligned} P_{i,j} &= \{S(t + 1) = S_j | S(t) = S_i, \dots, S(1) = S_1\}, \\ &= \{S(t + 1) = S_j | S(t) = S_i\}, \quad \text{where} \\ & i = 1, 2, j = 1, 2 \text{ and } t = 1, 2, \dots \end{aligned} \tag{2}$$

179 Moreover, based on the principles of DTMC, each state  
 180 must have a probability less than 1 (i.e.,  $0 \leq P_{i,j} \leq 1$ ) and  
 181 the outgoing transitions probabilities from state  $S_i$  should be  
 182 equal to one  $\sum_{j \in S} P(i, j) = 1$  [52]. Hence, in our proposed  
 183 model, we have  
 184

$$P_{1,1} + P_{1,2} = 1, \text{ and } P_{2,2} + P_{2,1} = 1. \tag{3}$$

185 The state-to-state transition matrix of two states DTMC is  
 186 represented by  $\mathbf{P}$  and expressed as,

$$\mathbf{P} = \begin{bmatrix} P_{1,1} & P_{1,2} \\ P_{2,1} & P_{2,2} \end{bmatrix}. \tag{4}$$

187 where  $P_{1,1}$  and  $P_{2,2}$  represent the probability of being in  
 188 same state whereas  $P_{1,2}$  and  $P_{2,1}$  denotes the traversing  
 189 of states, i.e., from free to busy state and from busy to

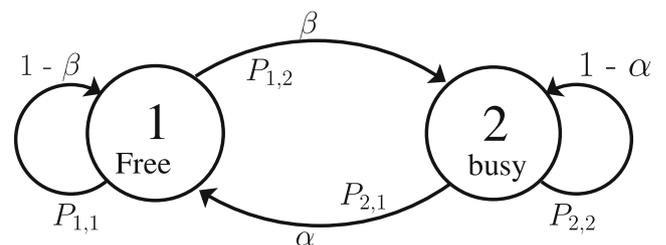


Fig. 2 Two-state DTMC model of the PU system, where  $\alpha$  and  $\beta$  represents the transition from busy to free and from free to busy state, respectively [26, 51]

190 free state, respectively, as shown in Fig. 2. Then, when the  
 191 Markov chain become steady i.e.,

$$\mathbf{p}(t + 1) = \mathbf{P}^T \mathbf{p}(t), \quad (\mathbf{P}^T)^t \mathbf{p}(1). \quad (5)$$

192 where  $\mathbf{p}(1)$  represents the starting state (i.e.,  $\mathbf{p}(1) = [1, 0]$ ).  
 193 Furthermore,  $\mathbf{P}^T$  is a left stochastic matrix due to the fact  
 194 that summation of each column is equal to 1 [53], which  
 195 is also verified in Eq. 3. Hence, when the Markov chain  
 196 becomes steady, we have,

$$\mathbf{p}(t + 1) = \mathbf{p}(t). \quad (6)$$

197 Moreover, let us symbolize the steady state probabilities  
 198 by  $\boldsymbol{\pi} = [\pi_1, \pi_2]$ , then we have a recursive equation of [52]

$$\boldsymbol{\pi} = \mathbf{P}^T \boldsymbol{\pi}. \quad (7)$$

199 In Eq. 7,  $\boldsymbol{\pi}$  is the right Eigen vector of the transition metric  
 200  $\mathbf{P}$  with the Eigen value of 1. It is important to note that the  
 201 steady state vector satisfies the condition of

$$\pi_1 + \pi_2 = 1 \quad \text{or} \quad \boldsymbol{\pi}^T \mathbf{1} = 1. \quad (8)$$

202 where  $\mathbf{1}$  represents a column vector having all 1 values. For  
 203 the sake of deriving close-form expressions for both states,  
 204 let  $P_f$  and  $P_b$  represent the probability of being in the free  
 205 state and busy state, then we have,

$$P_b \alpha = P_f \beta. \quad (9)$$

which reaches to:

$$\pi_1 = \left( \frac{P_{2,1}}{P_{1,2} + P_{2,1}} \right) \quad \text{and} \quad \pi_2 = \left( \frac{P_{1,2}}{P_{1,2} + P_{2,1}} \right). \quad (10)$$

which can also be represented by:

$$P_f = \frac{\alpha}{\alpha + \beta} \quad \text{and} \quad P_b = \frac{\beta}{\alpha + \beta} \quad (11)$$

208 Following the above discussion, we may conclude that  
 209 when a TS is not occupied by the PU, it will remain  
 210 free for the whole  $T$  seconds duration. Thus, for the sake  
 211 of improving the overall channel utilization, the SU may  
 212 access the free TSs and transmits its own data packets [9,  
 213 54]. In the following subsection, we will discuss in detail  
 214 the procedure of finding a free TS and data transmission.

### 2.2 Modeling the cognitive radio sensor network (CRSN)

217 We assume that a CRSN is employed in an area of a  
 218 PU system, where signal-to-noise ratio is very high. A  
 219 sensor network has low power, low processing capability,  
 220 and exposed to noise, so these networks cannot perform  
 221 well in the presence of high noise and interference in  
 222 the unlicensed band. In this paper, CRSN consists of one

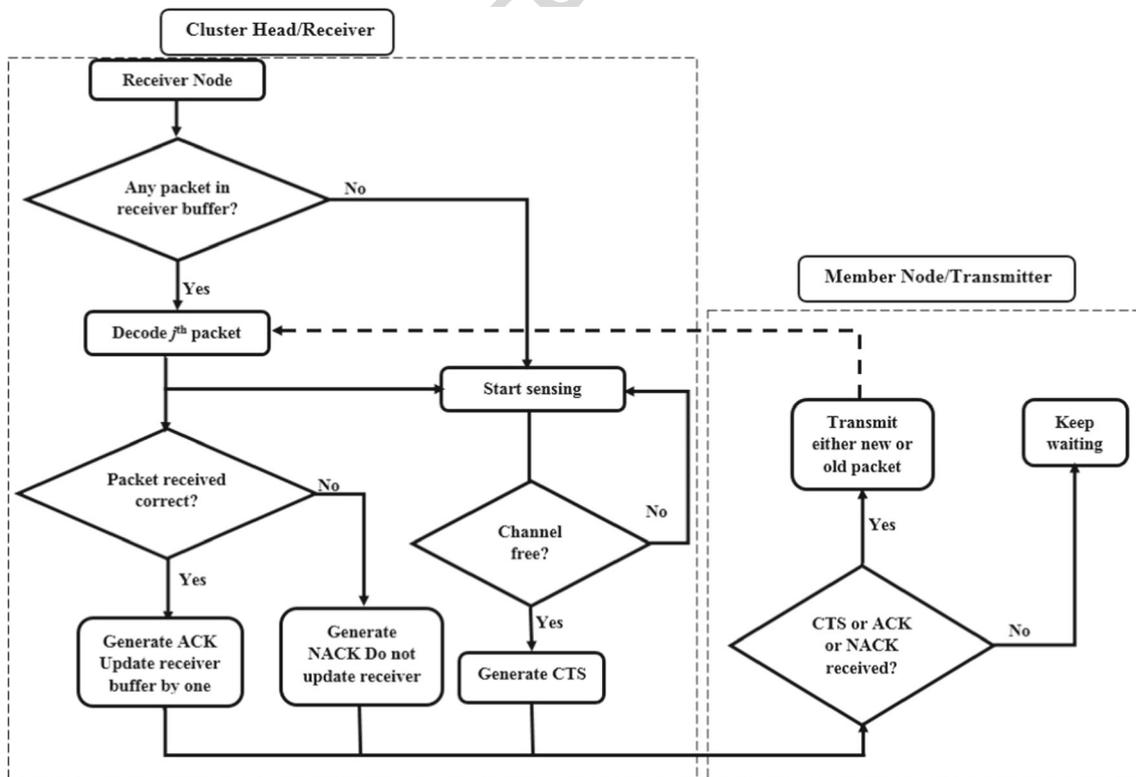


Fig. 3 Flow chart of CRSW assisted HARQ model

223 cluster comprise of Cluster Head (CH) and member nodes.  
 224 The CH communicates with member nodes, where the  
 225 member nodes use time division multiple access mechanism  
 226 for transmitting data packets in a specified time-frame.  
 227 Specifically, the CH perform sensing in order to ideally  
 228 detect the activity (free/busy) of the PU over the channel,  
 229 regardless of false-alarm and miss-detection. Hence, when  
 230 a free TS is detected, a member node transmits a packet to  
 231 the CH. On the other hand, when CH detects a busy TS,  
 232 then it waits until a free TS is deemed. The member nodes  
 233 follows the stop and wait hybrid automatic repeat request  
 234 (SW-HARQ) approach for data transmission, which will be  
 235 explained in the following sections.

236 **2.3 Cognitive radio sense and wait (CRSW) assisted**  
 237 **HARQ**

238 The proposed CRSN perform two vital functions of sensing  
 239 PU channel and data transmission. For instance, when a  
 240 free TS is deemed, then the member node uses the classic  
 241 SW-HARQ approach for the sake of reliably transmitting  
 242 data packet to the CH. To achieve high reliability, the  
 243 CH incorporates Reed-Solomon (RS) encoding/decoding  
 244 technique, in order to detect and/or rectify errors in the  
 245 received packet. To do so, we assume that each data packet  
 246 is encoded with RS codeword  $RS(N_d, K_d)$  [55], where  $K_d$   
 247 is number of information and  $N_d$  is coded symbol. The  
 248 propagation time of each coded packet from member node  
 249 to the CH is  $T_r$  seconds, where  $T_r < T$ . The RS code  
 250 is assumed to be capable of perfectly detecting errors as  
 251 well as rectifies  $e = \frac{N_d - K_d}{2}$  error symbols. Hence, when  
 252 a received packet has more than  $e$  errors, it is considered  
 253 as erroneous which requires retransmission. The round-trip-  
 254 time of a packet is  $T$  seconds, which can be defined as, the  
 255 time duration from the transmission until the reception of its  
 256 feedback.

257 Based on the above assumptions, the data is exchanged  
 258 between member nodes and CH over PU channel using  
 259 the principal of CRSW assisted HARQ model depicted in  
 260 Fig. 3, and explained in Algorithm 1. The detail operations  
 261 of CH and member nodes are provided below.

262 **2.4 Operations of cluster head**

263 In our proposed model, the CH performs the following  
 264 operations. First, it performs sensing operation for the sake  
 265 of detecting the activity of a PU over the channel. Once it  
 266 detects the activity of a PU, it will wait for a while and then  
 267 starts sensing again. This process continues until a free TS is  
 268 found. Secondly, we assume that the CH has a finite buffer  
 269 for storing the index number of packets that is expected  
 270 to be received. The buffer follows First-in-First-out (FIFO)  
 271 principle. Thirdly, when a free TS is detected, the CH

broadcasts an Clear-to-Send (CTS) signal to the member 272  
 nodes and waits for them to respond. We assume that the 273  
 CH selects a member node for transmission based on the 274  
 First-Come-First-Serve (FCFS) principle. In other words, 275  
 it means that the member node which receives the CTS 276  
 signal and respond earlier is allowed to transmit new/old 277  
 packet. It is worth mentioning that each member node 278  
 acknowledges the CTS signal with a join-request (Ready- 279  
 to-Send) response. When the corresponding member node 280  
 finishes its transmission, it then starts waiting for the 281  
 reception of feedback signal from the CH, which will be 282  
 explained in the following subsection. 283  
 284

**Algorithm 1** CRSW assisted HARQ Algorithm

**Initialization:**  $N_p$  = number of packets,  $T_d = N$ ,  $T_s = m$ ,  $j$   
 = 1, TS = 1

**Input:**  $T_d, T_s$ , packets

```

1: procedure
2:   while  $i \leq N_p$  do
3:     if CH has any packet in buffer then
4:       decode the packet and start sensing a TS
5:     else
6:       CH senses a TS
7:     if a TS is detected idle then
8:       broadcast a CTS to member nodes
9:     if CTS/ACK/NACK received by sensor nodes then
10:      (re)transmit a packet
11:     if the  $j$ th packet is correctly received then
12:       CH sends ACK
13:        $j = j + 1$ 
14:     elseif the  $j$ th packet is erroneous then
15:       CH sends NACK
16:       Goto line 9
17:     end if
18:     end if
19:     else
20:       Wait for CTS
21:     end if
22:     TS=TS+1
23:     end if
24:     end if
25:   end while
26: end procedure
    
```

On the other side, when CH receives a complete RS 286  
 coded packet, it performs decoding/correction process in 287  
 order to generate positive acknowledgment (ACK) or 288  
 negative acknowledgment (NACK) signal for an error-free 289  
 or erroneous packet respectively. Specifically, when an 290  
 error-free packet is received, the CH increments its buffer 291  
 index by one and generates immediately an ACK signal and 292

293 transmits to the respective member node. In contrast, when  
 294 an erroneous packet is received, the buffer index remains  
 295 unchanged and the CH responds back with a NACK signal  
 296 to the member node. This process continues until all packets  
 297 are correctly received.

298 **2.5 Operation of member node**

299 The member nodes of a CH has a joint buffer in which all  
 300 the packets are stored in an ascending order. Each member  
 301 node transmits only one packet at a time from this buffer  
 302 due to the implementation of SW-HARQ approach. Once a  
 303 member node receives CTS signal and the CH authorizes  
 304 it for packet transmission, it then transmits either new/old  
 305 packet waiting in the joint buffer. At this time interval,  
 306 the rest of the member nodes are assumed to remain silent  
 307 (even if they have responded with an RTS signal) until  
 308 the advertisement of another CTS signal and this concept  
 309 is out of the scope of this paper. Furthermore, after the  
 310 transmission of the  $i$ th packet, the corresponding member  
 311 node waits for  $T_w$  seconds to receive the feedback of the  
 312 transmitted packet. Hence, when an ACK is received, the  
 313 member node deletes the copy of the successfully received  
 314 packet from the joint buffer and increments its sequence by  
 315 one. On the other hand, when a NACK signal is received,  
 316 the corresponding packet remains in the joint buffer with  
 317 the same index number. Note that the erroneous packet  
 318 will be retransmitted again by any member node in the  
 319 next free TS. This process continues until all the packets  
 320 are correctly transmitted from the member nodes joint  
 321 buffer.

322 **3 Analysis of CRSW assisted HARQ model**

323 In this section, we analyze CRSW assisted HARQ model  
 324 both in terms of two performance metrics 1) the average  
 325 block delay and 2) the Average throughput.

326 **3.1 Average block delay ( $T_D$ )**

327 The average block delay can be defined as the average num-  
 328 ber of TSs or  $T_r$ 's required for the error-free transmission of  
 329 a packet. In contrast to conventional transmission schemes,  
 330 in our proposed model, the delay is not only imposed by  
 331 channel errors, but also comprise of the delay introduced  
 332 the unavailability of the PU channel for CR transmission.  
 333 Therefore, let  $D_P$  represents the delay caused by the uti-  
 334 lization the channel by PU and  $D_e$  is the delay induced by  
 335 one or more retransmissions of a packet. In order to analyze  
 336 the total delay  $T_D$  of the proposed CRSW assisted HARQ  
 337 system, we will first investigate the delay induce by the PU  
 338 activity.

Based on the probability,  $P_b$  of the PU system defined in 339  
 Eq. 11, the average delay  $D_P$  for a CH to detect a free TS 340  
 can be calculated as 341

$$\begin{aligned} D_P &= E[D_P(i)] \\ &= E[(i - 1)T] \\ &= \sum_{i=1}^{\infty} (i - 1)T P_b^{i-1} (1 - P_b) \\ &= \frac{P_b T}{1 - P_b} \end{aligned} \tag{12}$$

where  $D_P(i)$  represents the delay for detecting an  $i$ th 342  
 free TS which can be used by the member node for data 343  
 transmission, while the prior  $(i - 1)$  TS were occupied by PU 344  
 which causes a delay of  $(i - 1)T$ . Upon substituting  $P_b = \frac{\beta}{\alpha + \beta}$  345  
 presented in Eq. 11 into Eq. 12, we reach to the following 346  
 closed-form expression 347

$$T_{DP} = \frac{\beta T}{\alpha} \tag{13}$$

Secondly, when an  $i$ th free TS is detected by the CH, it 348  
 requests the member node for the transmission of a packet 349  
 which is explained in Sections 2.4 and 2.5. The authorized 350  
 member node transmit a packet to the CH, however, due 351  
 to communication impairments, there is a possibility that 352  
 the packet might be received erroneously, which requires 353  
 retransmission. The retransmission process continues until 354  
 the corresponding packet is received without errors. Hence, 355  
 to accommodate the case of one or more transmissions of a 356  
 single packet, let us assume that probability of packet being 357  
 in error is  $P_e$ , then have 358

$$\begin{aligned} D_e &= \sum_{i=1}^{\infty} (i) P_e^{i-1} (1 - P_e) \\ &= \frac{1}{1 - P_e} \end{aligned} \tag{14}$$

Now the total block delay can be achieved as 359

$$\begin{aligned} T_D &= (D_P + T) \times D_e, \\ &= \left( \frac{\beta T}{\alpha} + T \right) \times \frac{1}{1 - P_e}, \\ &= \left( \frac{\alpha + \beta}{\alpha} \right) \times \frac{T}{1 - P_e} \text{ (seconds)}. \end{aligned} \tag{15}$$

Moreover, the normalized  $T_D$  in terms of packet transmis- 360  
 sion ( $T_r$ ) can be expressed as 361

$$T_D = \left( \frac{\alpha + \beta}{\alpha} \right) \times \frac{1}{1 - P_e} \times \frac{T_r + T_w}{T_r} (T_r's). \tag{16}$$

From Eqs. 15 and 16, we may conceive that the block delay 362  
 increases with increase in the utilization level of the PU, 363  
 decrease in the channel quality and with the increase in the 364  
 waiting time spend for the reception of feedback. 365

366 **3.2 Average throughput**

The throughput of the proposed CRSW assisted HARQ 367  
 model can be defined as the successful transmission rate 368

369 of a packet in TS. The successful transmission of packets  
 370 depends on the successful detection of free TS by a CH and  
 371 error-free transmission of packet to the CH. To investigate  
 372 throughput of the proposed system, let us represent the  
 373 probability of successfully delivering a packet to CH by  
 374  $P_S(i)$  in  $i$ th TS, while the remaining  $(i - 1)$  TSs were either  
 375 occupied by PU or packet was received in error. Then,  $P_S(i)$   
 376 can be calculated as shown in Eq. 17 below,

$$P_S(i) = \sum_{j=1}^i P_f(j|i)P_S(j|i), \quad (17)$$

377 where the probability that PU channel is free in  $j/i$  TS is  
 378 represented by  $P_f(j|i)$ , while  $P_S(j|i)$  is the probability that  
 379 the member node successfully transmits a packet in  $j$  TS.  
 380 Therefore, we can write Eq. 18 based on Eq. 17 as follow,

$$\begin{aligned} P_S(i) &= \sum_{j=1}^i \binom{i}{j} P_f^j P_b^{(i-j)} P_e^{(j-1)} (1 - P_e), \\ &= \sum_{j=1}^i \binom{i}{j} \left(\frac{\alpha}{\alpha+\beta}\right)^j \left(\frac{\beta}{\alpha+\beta}\right)^{(i-j)} P_e^{(j-1)} (1 - P_e). \end{aligned} \quad (18)$$

381 Based on the above equation, we can readily obtain the  
 382 normalized throughput as:

$$\begin{aligned} \eta &= \sum_{i=1}^{\infty} \frac{1}{i} \times P_S(i), \\ &= \left[ \sum_{i=1}^{\infty} \sum_{j=1}^i \binom{i}{j} \left(\frac{\alpha}{\alpha+\beta}\right)^j \left(\frac{\beta}{\alpha+\beta}\right)^{(i-j)} \times P_e^{(j-1)} \times \right. \\ &\quad \left. \times (1 - P_e) \right] \text{ (packets per TS)}. \end{aligned} \quad (19)$$

383 Moreover, the normalized throughput in terms of  
 384 packets per  $T_r$  can be expressed as

$$\bar{\eta} = \frac{T_r}{T} \times \eta = \frac{T_r}{T_r + T_w} \times \eta \text{ (packets per } T_r). \quad (20)$$

### 385 4 Performance results

386 In this section, we evaluate both the delay and throughput  
 387 performance of the proposed CRSW assisted HARQ  
 388 scheme in terms of PU channel utilization and channel  
 389 reliability. We illustrate the effect of packet error probability  
 390 ( $P_e$ ) and the probability of a channel being in busy state  
 391 ( $P_b$ ) on the performance of the proposed scheme. The  
 392 CRSW assisted HARQ mode has been build with the  
 393 help MATLAB, where sixty thousands packets have been  
 394 transferred for each scenario. The simulation start from the  
 395 sensing of the first TS and ends on the successful reception  
 396 of total  $N_s$  packets.

397 Figure 4 depicts the throughput achieved by CRSW  
 398 assisted HARQ model against  $P_e$  and  $P_b$  of the channel.

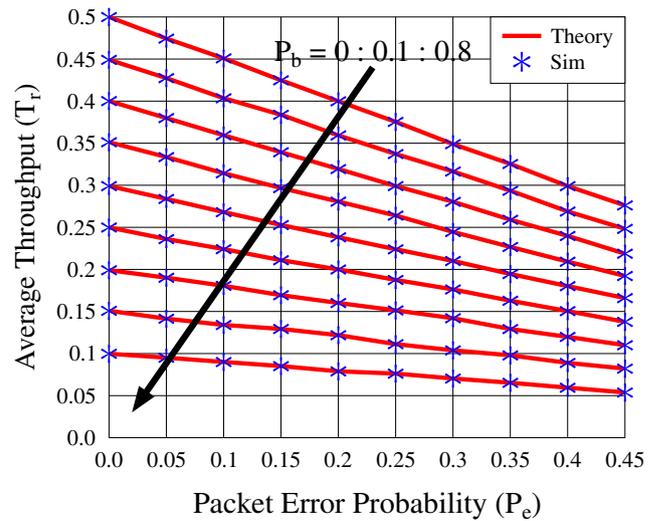


Fig. 4 Throughput versus packet error probability  $P_e$  for the CRSW assisted HARQ model related with different  $P_b$ , when presuming  $T_r = 1$  and  $T_w = 1$  seconds. Average throughput performance is examined for different values of  $P_e$ , where results are calculated in terms of  $T_r$

The formula used for calculating the throughput is given in Eq. 21 as

$$\eta'_S = \frac{N_s}{N_{ts}} \cdot \frac{T_r}{T_r + T_w} \text{ (packets per } T_r), \quad (21)$$

where  $N_s$  is the sum of packets correctly transmitted by the member nodes in the total  $N_{ts}$  TSs.

We can see in Fig. 4 that the throughput is at its peak for  $P_e = 0$ , this means that the channel is highly reliable and therefore, the rate of retransmission is minimum. However, as shown in Fig. 4, the throughput drops when  $P_e$  increases. This is because, the channel reliability reduces which results in low throughput. The decrease in throughput is almost linear, this is due retransmission of packets. For certain  $P_e$ , the throughput reaches to its maximum level, when there is no PU activity on the channel, i.e. when  $P_b = 0$ . But when,  $P_b$  increases, the achievable throughput considerably decreases, as the CH has to wait longer duration for detecting free TSs. For instance, both when  $P_e$  and  $P_b$  are zero, then the throughput is at its maximum with the value of .5 seconds. This means that the channel is always free from PU and packet transmission is always successful in the first TS. Furthermore, it is pretty clear from Fig. 4 that the analytical results calculated from Eq. 20 agree well with our simulation results.

After investigating the throughput performance, we now explain on the delay of the CRSW assisted HARQ model. First we study average block delay, as presented in Fig. 5. It is worth mentioning here that for simulation results, we have calculated the average packet delay using Eq. 22

$$T_{DS} = \frac{N_{ts} \cdot (T_r + T_w)}{N_s} \text{ (seconds)}. \quad (22)$$

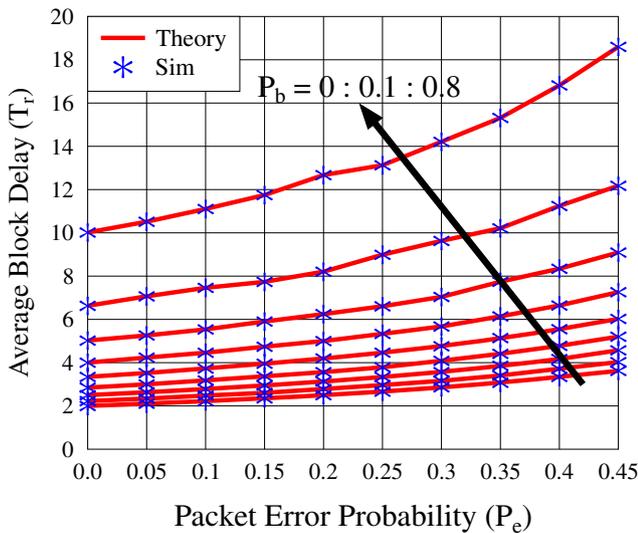


Fig. 5 Average block delay of the CRSW assisted HARQ model against probability of packet error for different  $P_b$  values

where  $N_s$  is the sum of packets correctly transmitted by member nodes in the total number of TSs  $N_{ts}$ . The results shown in Fig. 5 are normalized by  $T_r$ , producing Eq. 23

$$T'_{DS} = \frac{T_{DS}}{T_r} (T_r s). \quad (23)$$

Figure 5, depicts the average packet delay of the CRSW assisted HARQ model. For certain  $P_b$ , the average packet delay reaches to a minimum level, particularly when the channel is reliable, i.e. when  $P_e = 0$ . But when,  $P_b$  and/or  $P_e$  increases, the average packet delay considerably

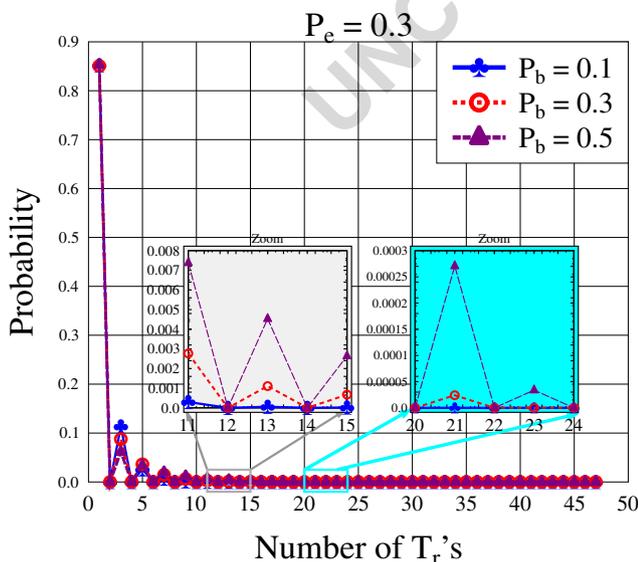


Fig. 6 Characterizing the probability distribution of the E2E packet delay for  $P_b = \{0.1, 0.3, 0.5\}$  and  $P_e = \{0.3\}$

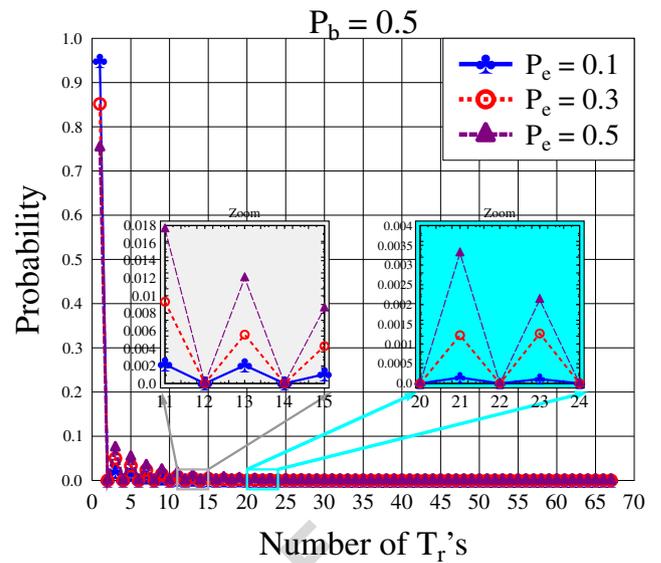


Fig. 7 Investigation of probability distribution of the E2E packet delay for  $P_e = \{0.1, 0.3, 0.5\}$  when  $P_b = \{0.5\}$

increases. This increase in average packet delay is due to the fact that the high value  $P_e$  causes more retransmissions while increase in  $P_b$  value decreases the chances of transmission for a member node. Furthermore, it is pretty clear from Fig. 5 that the analytical results calculated from Eq. 16 agree well with our simulation results.

In Fig. 6, we illustrate the end-to-end (E2E) packet delay of the CRSW assisted HARQ model. In our simulations, the E2E delay is defined as the time taken by a packet from its first transmission attempt to its final successful reception divided by total total number of packet.

For E2E, we consider a vector  $\mathbf{v}$  having length of  $N_s$  for storing E2E delay of each transmitted packet; then let  $\mathbf{v}(i)$  denotes the E2E delay of the  $i$ th packet, then the probability distribution ( $P_d$ ) of E2E packet delay shown in Fig. 6 may be calculated as:

$$P_d = \frac{\sum_{i=1}^{N_s} \delta(\mathbf{v}(i) - n)}{N_s}, \quad 1 \leq n \leq \max(\mathbf{v}). \quad (24)$$

where  $\delta$  function is used for finding the number of TS took by each packet. For example, if 100 packets are successfully received in their first transmission attempt in and 80 packets have taken two  $T_r$ 's then the distribution becomes  $P_d = [100/N_s, 80/N_s, \dots]$  (Fig. 7).

## 5 Conclusion

The latest trends in wireless communication technologies have given the notion that the spectrum is scarce. The spectrum scarcity is due to the static allocation of spectrum,

460 and to overcome this issue we need a perfect PU detection  
 461 model. In this paper, we have proposed and examined  
 462 the performance of CRSW assisted HARQ model for  
 463 efficient detection the PU channel. The throughput and  
 464 delay of CRSW assisted HARQ model has been analyzed  
 465 mathematically and validated via simulations. Accuracy  
 466 of the derived closed-form expressions of CRSW assisted  
 467 HARQ model has been verified using MATLAB simulation.  
 468 We conclude from simulation results that delay and  
 469 throughput performances of CRSW assisted HARQ model  
 470 are mainly affected by both the activities of the PU as well  
 471 as by the reliability of the PU channel used by SU. When  
 472 the PU channel is not free, this causes low throughput of the  
 473 CRSW assisted HARQ model and higher delay, though the  
 474 channel might be reliable, i.e.,  $P_e = 0$ . In future research  
 475 we aim to evaluate the performance of CRSW assisted  
 476 HARQ model in a realistic imperfect sensing scenarios and  
 477 multi-cluster scenarios.

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