

1 **Indoor Performance Analysis of Genetically Optimized** 2 **Circular Rotational Square Hyperboloid (GOCRSH)** 3 **Concentrator**

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12 **Abstract**

13 In the past few years, there was an increasing popularity of portable solar chargers for providing
14 access to clean affordable electricity to remote locations in developing countries. Looking at
15 the surge in demand, it is also important to reduce the environmental impact of portable solar
16 chargers. Solar photovoltaic (PV) concentrators have the potential to reduce the embodied
17 energy and thus the embodied greenhouse gas emissions, human-toxicity and eco-toxicity
18 potential during production, recycling and disposal stages of silicon PV solar panels. Yet, no
19 solar PV concentrator designs have been proposed for portable solar systems for developing
20 countries. Recently, a novel concentrator known as genetically optimized circular rotational
21 square hyperboloid (GOCRSH) concentrator was developed to address this problem. This
22 paper evaluates the performance of four types of GOCRSH concentrators; namely
23 GOCRSH_A GOCRSH_B, GOCRSH_C_{th} and GOCRSH_D that have a geometrical gain of
24 3.73x, 3.34x, 3.80x and 4.07x respectively. The experimental analysis of these concentrators
25 was performed indoors under standard test conditions, i.e. 1000 W/m², AM 1.5G and at a
26 temperature of 25 °C to characterize the concentrators at normal incidence and to determine
27 their angular response. Firstly, the fabrication process of the prototypes is described. Secondly,
28 the GOCRSH concentrated devices and the reference cell are characterized at normal

29 incidence, obtaining the current-voltage ($I-V$) and power-voltage ($P-V$) curves. Next, the
30 angular response of the concentrators is obtained at various angles of incidence of up to $\pm 70^\circ$
31 in increments of 5° . Mismatches between the simulation results and the experimental results
32 are identified and possible error sources leading to the mismatch are discussed. Lastly, the
33 increase in solar cell temperature under constant illumination and its impact on the solar cell
34 performance is recorded for the GOCRSH_A concentrating device. From the indoor
35 experiments, it was found that the prototypes were showing the maximum power point ratio
36 under normal incidence of 2.9x, 2.6x, 3.9x and 2.7x with the GOCRSH_A GOCRSH_B,
37 GOCRSH_C_{th} and GOCRSH_D respectively.

38 Keywords: genetically optimized circular rotational square hyperboloid concentrator, solar
39 photovoltaic, indoor performance analysis, opto-electronic gain.

40 **1. Introduction.**

41 The Sustainable Development Goals (SDGs) were agreed in 2015 by 193 nations to “mobilize
42 efforts to end all forms of poverty, fight inequalities and tackle climate change, while ensuring
43 that no one is left behind” (United Nations, 2021). Out of the 16 SDGs, Goal 7 “Access to
44 affordable, reliable, sustainable and modern energy for all” (United Nations, 2017) is seen as
45 an enabler to achieve the other fifteen goals (Scott et al., 2017). Yet, worldwide, over 1.1 billion
46 people have no access to electricity. They therefore lack its basic commodity which is clean
47 lighting (IEA, 2017). Alternative sources such as kerosene lamps, candles or burning
48 switchgrass limit the ability of the affected people to study or work after sunset due to the poor
49 quality of the light they produce. Furthermore, these light sources have associated health risks
50 such as poisoning from the inhaled fumes, chronic lung diseases, eye irritation as well as
51 increased potential for burns from accidental fires. These hazards mostly affect women and
52 children since they are predominantly involved in household chores like cooking (Kimemia et
53 al., 2014).

54 Approximately 80% of the affected people live in rural communities in developing countries
55 (IEA, 2017; Scott et al., 2017). One of the main hurdles to electrifying rural areas is the lack
56 of infrastructure. Many utility companies find it less desirable to build the required
57 infrastructure due to low electricity demand, small population density and long distances to the
58 nearest substation connecting remote communities. Additionally, the issue of theft of cables

59 as well as other infrastructural materials and unreliable customer payments diminished the
60 demand for centralized electricity supply (Avila et al., 2017).

61 It is however not the grid connection that people want, but the potential benefits the energy
62 provides. This suggests that the way towards electrification does not need to be a centralized
63 solution. Most of the world's energy poor live in sub-Saharan Africa, Asia and the Pacific and
64 predominantly in areas with an abundance of solar radiation throughout the year (Abubakar
65 Mas'ud et al., 2016; Beuse et al., 2020; Burke et al., 2019; Keane, 2014; Palit, 2013; Yan et
66 al., 2019). Solar systems are therefore viewed as the way forward to decentralized
67 electrification.

68 One of the most sought after technologies for electrification is the portable solar charger. This
69 device is under continuous development aiming to achieve lower cost, faster battery charge
70 and more electricity generation to prolong light hours at a high light intensity. The most
71 commonly used PV materials in solar lights are monocrystalline and polycrystalline silicon due
72 to their high conversion efficiencies (laboratory efficiency of 26.7% and 22.3% respectively
73 (Green et al., 2018)), cell stability and no toxic components unlike Cadmium telluride (CdTe),
74 Copper indium gallium (di)selenide (CIGS) and Gallium arsenide (GaAs) (Fthenakis, 2003).
75 Yet, the production of silicon is energy intensive and has associated greenhouse gas (GHG)
76 emissions (Vellini et al., 2017).

77 To reduce the environmental impact of solar lights, the use of solar photovoltaic concentrators
78 is suggested. A solar concentrator focuses light rays from a large area onto a smaller area
79 increasing the electrical output of the solar system (Muhammad-Sukki et al., 2014). The report
80 published by the Fraunhofer Institute for Solar Energy Systems (2018) shows that the embodied
81 energy per W_p can be reduced by using solar photovoltaic concentrating systems (Lamnatou et
82 al., 2016).

83 In the past three decades, a large number of concentrator designs have been developed by
84 researchers. For example, Sharma and Bhattacharya (2020) developed a static cylindrical
85 Fresnel lens made from silicon glass. They established that their optimum concentrator design
86 has the following parameters: 20 cm diameter of the cylindrical lens, 37° prism angle, a
87 distance between the absorber and the concentrator axis of 11 cm and a 5 cm width of the
88 absorber. From their simulation, they found that their optimum design is capable of increasing
89 the energy collection by approximately 50%. Liu et al. (2017) studied a planar Lambertian
90 reflector-based concentrator that has a geometrical concentration ratio of 2x. Their ray tracing

91 analysis showed that an optical concentration gain of 1.29x can be achieved when compared to
92 a non-concentrating counterpart.

93 Xuan et al. (2017) studied a concentrator known as asymmetric lens-walled compound
94 parabolic concentrator (ALCPC). They carried out simulations to determine its optical
95 performance by using the software Lighttools, and found that this design has a wide acceptance
96 angle of $\pm 60^\circ$. The outcome from their experiment results indicated that the ALCPC is capable
97 of increasing the maximum power by a ratio of 1.74x when compared with a bare PV cell.

98 Elminshawy et al. (2019) utilized a V-trough PV concentrator integrated with a buried water
99 heat exchanger as to cool the CPV system. A prototype was developed and tested at Port Said,
100 Egypt. The V-trough was constructed from 1 mm aluminium plate reflectors with dimensions
101 of 1650 mm \times 1000 mm. They found that the cooling system improved their CPV's peak
102 generated electrical power by as high as 28.3% when compared with the ones without a cooling
103 system. Li et al. (2019) tested a 3-D compound parabolic concentrator (CPC) and found that
104 the CPC design achieved a maximum optical efficiency of 85.4% and a concentration gain of
105 4.1x when compared with a non-concentrating counterpart.

106 Meanwhile, Foster et al. (2020) evaluated the effect of diffuse radiation at the output of a
107 rotationally asymmetrical compound parabolic concentrator (RACPC). The RACPC has a
108 geometrical concentration gain of 3.67x, a total height of 3 cm and was fabricated from
109 Polymethyl Methacrylate (PMMA). From their experiment, it was found that the RACPC
110 design could achieve an opto-electronic gain of 2.20x under diffuse radiation when compared
111 with a bare PV cell. Sarmah et al. (2014), on the other hand, carried out an indoor
112 characterization of a linear dielectric compound parabolic concentrator (CPC). The CPC design
113 has an acceptance angle range between 0° and 55° , a geometrical concentration gain of 2.8x
114 and was fabricated from polyurethane. With the dielectric CPC design a maximum power ratio
115 of 2.27x was demonstrated when compared to a similar non-concentrating PV cell. Baig et al.
116 (2020) utilized a reversed truncated pyramid concentrator to increase the power output from a
117 perovskite cell. From the experimental work, they found that the concentrator increased the
118 power output from 1.88 mW to 15.88 mW – a factor of 8.4x.

119 Despite various concentrator designs, Freier et al. (2017) argued that these concentrators have
120 not been used for portable solar systems. In order for a concentrator to be implemented in a
121 portable solar systems, it must have the following characteristics: (i) it needs to have the same
122 light acceptance angle on all vertical planes for easy use; (ii) it must have a sufficiently high
123 concentration ratio to enable savings in photovoltaic material; (iii) it needs to have minimum

124 height and volume to reduce weight and manufacturing cost, (iv) its design needs to be suitable
125 for a concentrator array to be produced from a single mould to minimize manufacturing and
126 assembly costs. They then developed a novel circular rotational square hyperboloid (CRSH)
127 concentrator design. Based on the ray tracing analysis of the CRSH they concluded that a
128 maximum optical concentration gain of 3.94x can be achieved. The CRSH was recently
129 optimized using genetic algorithms, and the new design is known as genetically optimized
130 circular rotational square hyperboloid (GOCRSH) concentrator (Freier Raine et al., 2020).
131 Genetic algorithm is a probabilistic optimization algorithm that allows for a continuous search
132 of an optimum or near- optimum solution (Cvijovic and Klinowski, 2002). The optimization
133 offers several advantages including: (i) it allows a more compact concentrator design; (ii) it is
134 easy to use; (iii) it has an optical concentration ratio of around 3x, and allows wide half-
135 acceptance angles of $\pm 40^\circ$ which enables it to capture light for more than 5 hours without
136 electromechanical tracking.

137 While a series of simulations have been carried out to identify the optimized GOCRSH
138 concentrator, no experimental work has been carried out to evaluate its electrical performance.
139 The aim of this paper is to present the indoor characterization of the GOCRSH concentrators
140 under standard test conditions (STCs) which have never been tested before. The chosen
141 concentrators are GOCRSH_A, GOCRSH_B, GOCRSH_C_{rh} and GOCRSH_D.

142 Section 2 describes the GOCRSH prototype fabrication and assembly process. Section 3
143 outlines the indoor experimental setup while Section 4 presents the results and discussions. The
144 conclusions are provided in Section 5.

145 **2. GOCRSH prototype fabrication and assembly**

146 **2.1 Prototype components**

147 The process to create a GOCRSH concentrator has been explained in detail by Freier Raine et
148 al. (2020). Specifically for the test, four type of GOCRSH concentrators were fabricated. The
149 detailed characteristics and parameters of these concentrators are presented in Table 1. Figure
150 1 demonstrated the side profile parameters of GOCRSH entrance aperture profile and
151 hyperbolic side profile.

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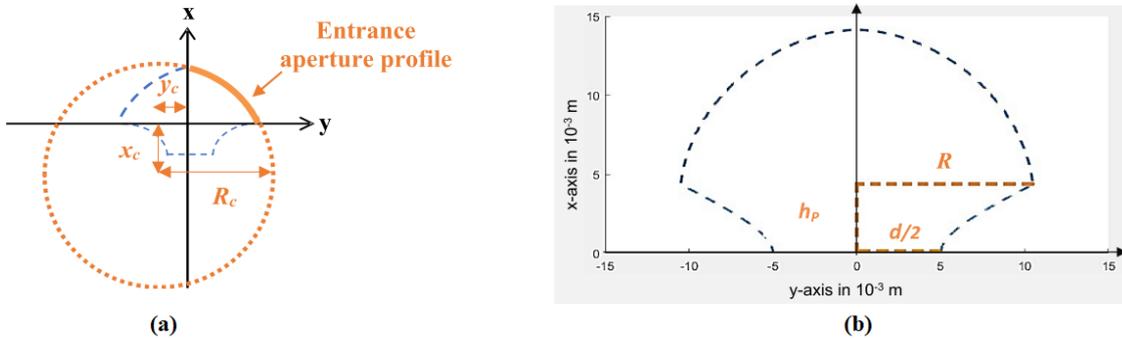
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Table 1. Characteristics and parameters of the chosen GOCRSH designs.

Lens	GOCRSH_A	GOCRSH_B	GOCRSH_C _{rh}	GOCRSH_D
Volume V in mm ³	2696	2285	3796	3079
Optical concentration gain $C_{opt\pm 40^\circ}$	2.91	2.75	3.36	3.01
Maximum concentrator height h_m in mm	12.74	11.74	16.64	13.16
Entrance aperture diameter d_E in mm	21.79	20.62	22.01	22.77
Geometrical concentration gain C_g	3.73	3.34	3.80	4.07
Optical efficiency $\eta_{opt\pm 40^\circ}$	0.77	0.81	0.88	0.73
Parameters				
R_e in mm	11.4856	10.6031	11.0033	11.6638
Circle centre x-coordinate of the arc x_c in mm	-0.2034	-0.0041	0	-0.0128
Circle centre y-coordinate of the arc y_c in mm	-2.9517	-2.4546	0	-2.4835
Side Profile Height h_p in mm	4.2055	3.5909	6.6435	3.9837

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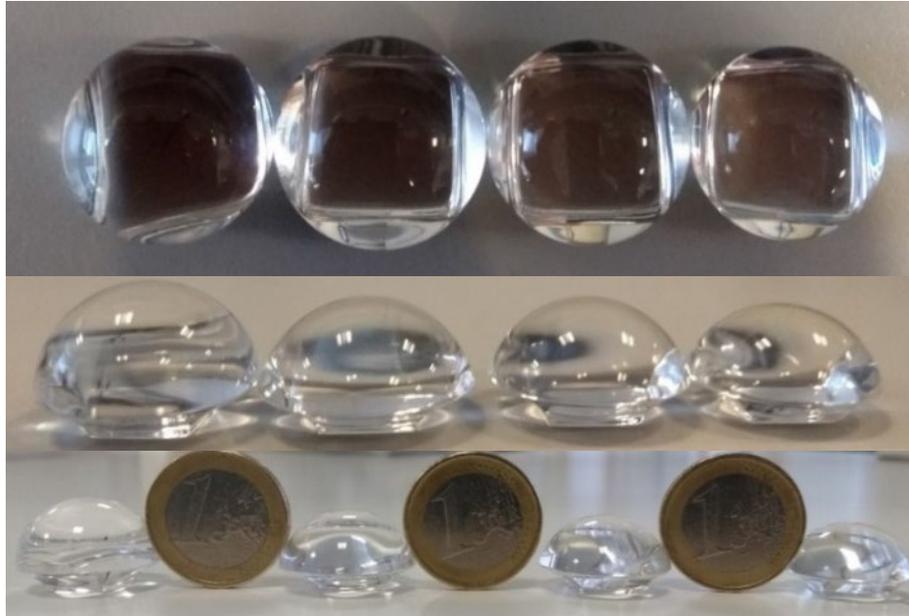
158 Figure 1: Parameters of the GOCRSH (a) entrance aperture profile, side view, and (b)
 159 hyperbolic side profile.

160

161 The GOCRSH prototypes were CNC machined from transparent PMMA since CNC
 162 machining is more cost effective for prototyping than injection moulding (Abu-Bakar, 2016).
 163 The GOCRSH prototypes were machined and hand-polished by Dongguan Bole RP&M Co
 164 Ltd according to the IGES files provided to the company. The CNC machined and hand
 165 polished GOCRSH concentrator prototypes are shown in Figure 2. The PMMA material was
 166 chosen due to its durability. Mahoney et al. (1993) has carried out an accelerated UV test on
 167 several PMMA materials commonly used for PV systems. They found that PMMA has an

168 excellent durability, losing only 2% of solar averaged hemispherical transmittance after being
169 aged for 36.5 years.

170



171

172 Figure 2. Concentrator prototypes from left to right: GOCRSH_C_{rh}, GOCRSH_D, GOCRSH_A and
173 GOCRSH_B.

174 To fabricate the GOCRSH concentrator devices, laser grooved buried contact (LGBC) PV cells
175 from Solar Capture Ltd were used since they were available within the university. These cells
176 are designed for CPV applications with concentration ratios below 10x. The measured solar
177 cell size is 100 mm² including the area allocated for the front contact. This means that for a
178 concentrator with a 100 mm² square exit aperture, a part of the light rays will be focused onto
179 the tabbing wire and consequently be lost due to reflection. A cell efficiency of only 10% was
180 determined experimentally under STC conditions for an active cell area of 100 mm².

181 **2.2 Photovoltaic cell tabbing process**

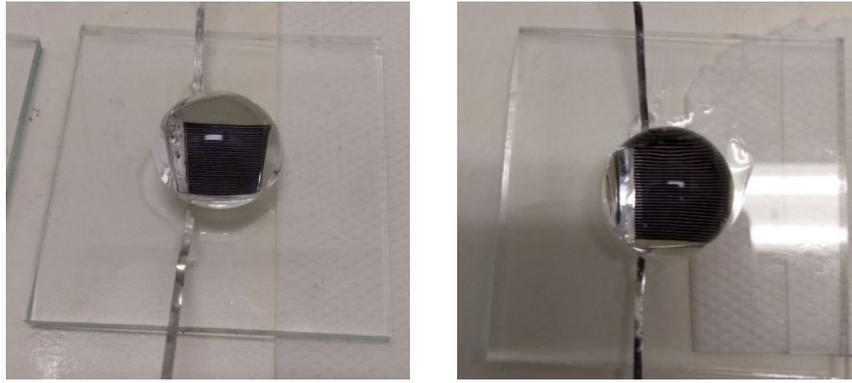
182 For the tabbing of the cells a flat lead-free wire of 0.1 mm thickness and 1 mm width was cut
183 into small pieces of approximately 150 mm length. For a better bonding between the solar cell
184 and the tabbing wire, one side of the tabbing wire and the back contact of the solar cell were
185 covered with liquid flux. A small amount of solder was applied onto the cleaned and fluxed
186 side of the tabbing wire with an 81 W soldering iron at a working temperature of 350°C. To
187 create a connection, heat was applied onto the tabbing wire which was positioned with the
188 applied solder touching the back contact of the cell. The tabbing process was repeated for the

189 front contact of the cell. The tabbed cell was attached to a 60 mm x 60 mm x 4 mm glass
190 substrate with a drop of superglue; just enough to create a connection without overflowing the
191 sides of the cell. Five solar cells in total were tabbed to create four GOCSRH concentrating
192 devices and a reference cell device. All cells were tested under the solar simulator before the
193 prototypes were assembled and a short circuit current of around 25 mA was obtained under
194 STCs for all cells using a multimeter.

195 **2.3 Assembly process**

196 A silicon elastomer Sylgard-184 from Dow Corning was used to attach the concentrators to the
197 solar cells. Sylgard-184 is an adhesive, encapsulant and index matching gel for solar cells with
198 further applications being the protection of electrical/electronic devices and potting
199 applications (Dow Corning, 2017). Sylgard-184 is a two-part adhesive which was mixed by
200 weight in a ratio of 10 parts base to 1 part of curing agent. The mixture was thoroughly stirred
201 in a beaker and since the stirring entraps air, the mixture was placed in a vacuum chamber for
202 10-15 minutes under 400 mbar until all visible air bubbles evaporated. Before the Sylgard-184
203 was applied, the cells were brushed with a liquid primer (Dow Corning Primer 92-023) to
204 improve the adhesion between the silicon elastomer and the solar cell using a soft brush. The
205 primer is a harmful and corrosive material and should be treated with caution (Dow, 2018).
206 The primer and the elastomer were handled under the fume hood wearing protective clothing,
207 gloves and glasses. Creating a thin coating, the elastomer was applied onto the solar cell and
208 the glass around it to create a large surface area between the cell, the adhesive and the substrate.

209 Extra care was taken to reduce misalignment and the entrapment of air bubbles between the
210 solar cell and the concentrator. Since the tabbed back- and front-contact were situated on the
211 same side of the cell, the tabbing wires lead to a tilt of the concentrator when placed on the
212 cell. To prevent the concentrator sliding off the cell during the curing period, a paper was placed
213 underneath the one side of the substrate to level the concentrator (Figure 3). The samples were
214 left to cure at room temperature under the fume hood for 48 hours.



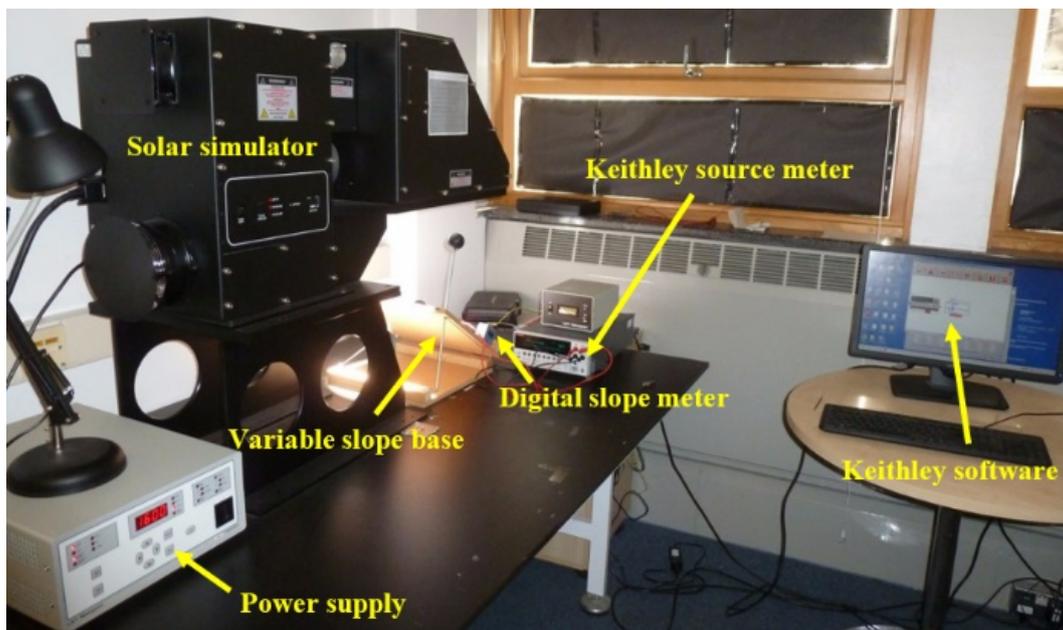
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216

Figure 3. Attachment of the concentrators.

217 3. Experimental setup

218 The experimental analysis was carried out indoors under an Oriel® Sol3A™ Class AAA solar
219 simulator. The xenon short arc lamp of an AAA class solar simulator is ozone free and has a
220 spectral performance match between 0.75 to 1.25 times of a 5800 K blackbody. Both the
221 temporal instability and the non-uniformity of the irradiance are less than 2% within a 200 mm
222 by 200 mm footprint at a working distance of 365-395 mm. The irradiance is adjustable
223 between 0.1 and 1 suns where 1 sun equals to 1000 W/m^2 . Furthermore, the Oriel® Sol3A™
224 Class AAA solar simulator has an integrated 1.5 AM filter to enable STC experimental
225 conditions (Zeiny et al., 2018). The experiment setup is shown in Figure 4.



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227

Figure 4. Setup of the indoor experiment.

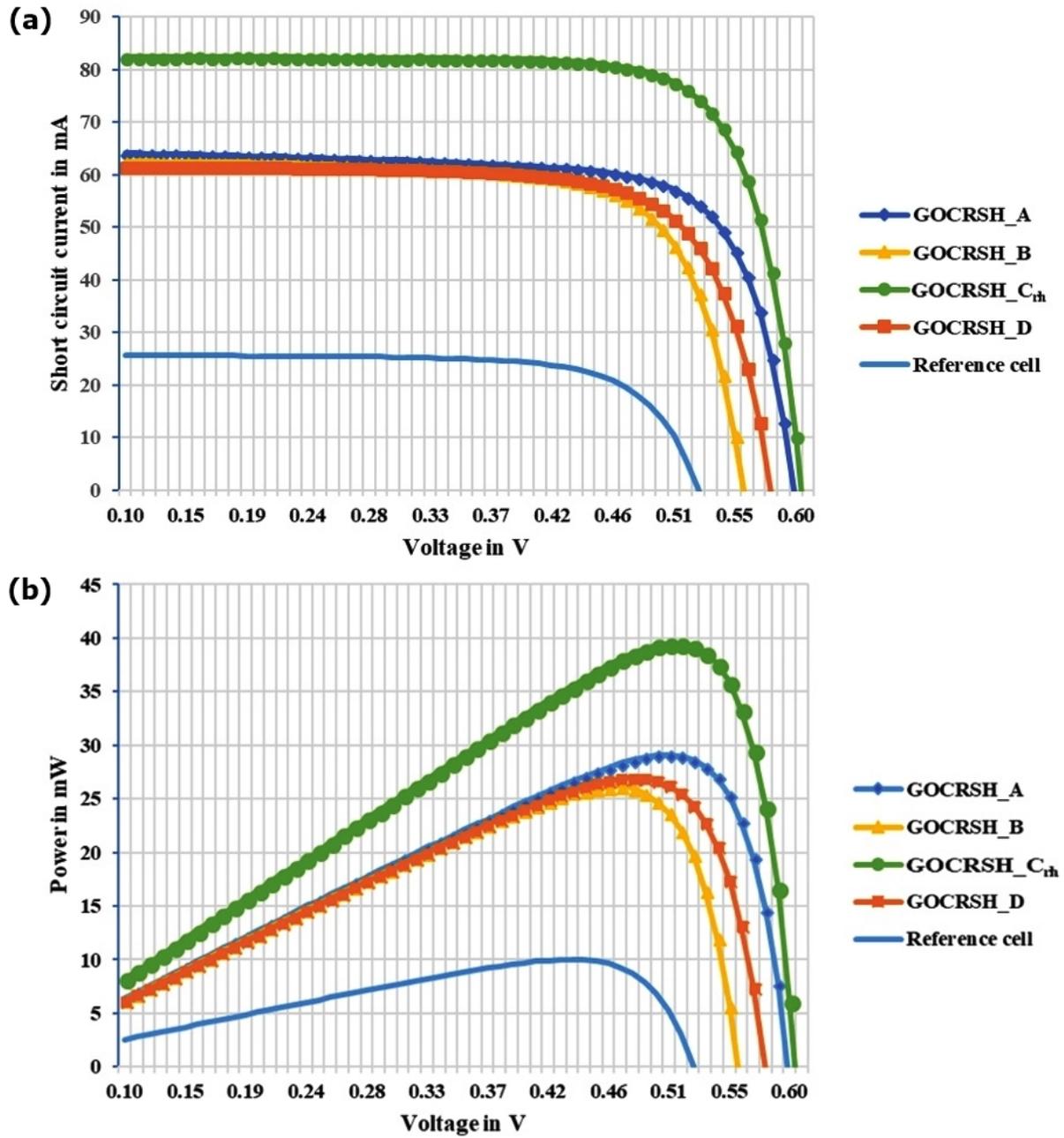
228 A SourceMeter instrument from Keithley Instruments (model 2440 5A) was used in
229 combination with the Keithley LabTracer 2.0 software for I - V curve tracing. The SourceMeter
230 is a highly stable multimeter which can be used either as a voltage/current source or a
231 voltage/current/resistance meter. The SourceMeter transmits 1700 readings per second and the
232 readings are taken using a four-wire set up which is more accurate than a two-wire set up
233 (Keithley Instruments Inc, 2016). The irradiance of the solar simulator was set to 1000 W/m^2
234 according to STC and was controlled during the experiment with an Oriel PV Reference Cell
235 System (Model 91150V). The reference cell consists of a 400 mm^2 mono-c-Si solar cell and a
236 type K thermocouple. Thus, the sun irradiance and the cell temperature can be measured
237 simultaneously. When not placed under the solar simulator, the reference cell can be used to
238 measure the room temperature.

239 **4. Results and discussion**

240 **4.1 Characterization of the GOCRSH at normal incidence under** 241 **STCs**

242 I - V and P - V curves of the non-concentrating and concentrating devices were measured at
243 normal incidence to show the differences in short-circuit current I_{sc} , open-circuit voltage V_{oc}
244 and maximum power point P_{MPP} . The I - V curve tracer was set to sweep the voltage from 0.1 V
245 to 1 V to provide the I - V curve of the cell consisting of 100 points. The I - V curves of the
246 concentrated cells and the reference cell are shown in Figure 5(a) and the P - V curves in Figure
247 5(b). The P_{MPP} , the cell efficiency (η_{cell}) and the fill-factor (FF) of the concentrating and non-
248 concentrating devices were calculated from the traced I - V curves and are compared in Table 2.

249



250

251 Figure 5. (a) I - V curves and (b) P - V curves of the GOCRSH concentrated cells and the reference cell
 252 under STC conditions

253

Table 2. Electrical characteristics of the GOCRSH concentrated cells and the reference cell

	Reference cell	GOCRSH_A	GOCRSH_B	GOCRSH_C _{rh}	GOCRSH_D
I_{sc} in mA	25.54	64.00	62.50	82.40	61.69
V_{oc} in V	0.53	0.60	0.56	0.60	0.58
P_{MMP} in mW	10.02	29.10	26.20	39.47	26.94
FF	0.74	0.76	0.75	0.80	0.75
η_{cell}	0.10	0.29	0.26	0.39	0.27

254 The power factor and thus the increase in cell efficiency is as high as 3.9x times for the
 255 GOCRSH_C_{rh} and 2.9x, 2.6x and 2.7x for the concentrators GOCRSH_A, GOCRSH_B and
 256 GOCRSH_D respectively. The power factor is greater than the short circuit current factor since
 257 it also includes the logarithmically proportional increase in the maximum power point voltage
 258 (Quaschnig, 2015).

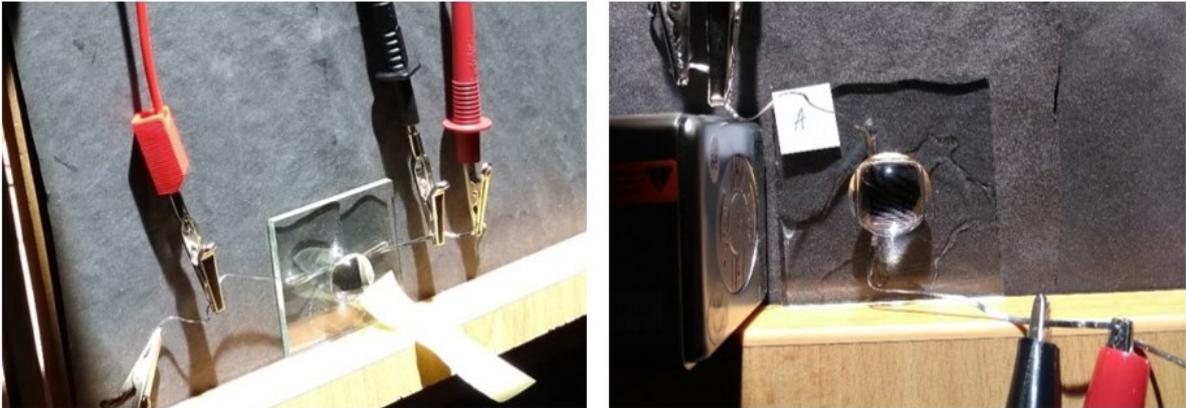
259 The opto-electronic concentration ratio (C_{opt-el}) of the GOCRSH concentrators at normal
 260 incidence (0° inclination) were calculated and are compared to the optical concentration ratio
 261 obtained from simulations in Table 3. The opto-electronic concentration ratio is defined as the
 262 ratio of I_{sc} with the concentrator and I_{sc} without the concentrator. It can be observed that the
 263 experimentally determined C_{opt-el} is distinctively lower than the simulated optical concentration
 264 ratio C_{opt} showing an error greater than 12% for the GOCRSH_A, GOCRSH_B and
 265 GOCRSH_D and an error smaller than 5% for the GOCRSH_C_{rh}. Before discussing the
 266 possible reasons for the obtained errors, the simulated and experimentally obtained angular
 267 response are compared in the following section.

268 Table 3. Comparison of the simulated optical concentration ratio (C_{opt}) and experimentally determined
 269 opto-electronic concentration ratio (C_{opt-el}) at normal incidence

	GOCRSH_A	GOCRSH_B	GOCRSH_C _{rh}	GOCRSH_D
$C_{opt_0^\circ}$	2.90	2.75	3.39	3.05
$C_{opt-el_0^\circ}$	2.51	2.40	3.22	2.45
Error in %	13.5	12.7	5.0	16.7

270 4.2 Angular response of the GOCRSH under STCs

271 To determine the angular response of the GOCRSH, the $I-V$ curves of the prototypes and the
 272 reference cell were measured at various angles of incidence of up to $\pm 70^\circ$ in increments of 5° .
 273 A variable slope was used to tilt the device and the inclination was measured by a digital tilt
 274 meter. The irradiance was set to 1000 W/m^2 and the room temperature was maintained at 25°C .
 275 For the first set of the experiments the solar cell was positioned with the tabbing wire facing
 276 up on the variable slope (see Figure 6 (a)). However, the tabbing wire in that position can
 277 introduce a shade at larger angles of incidence, the experiments were therefore repeated with
 278 the tabbing wire at the side (see Figure 6(b)). The results are presented in Figures 7 to 10. The
 279 experimental results are compared to each other and to the simulation results in Figures 7 to 10
 280 for each of the GOCRSH prototypes individually.



(a)

(b)

281

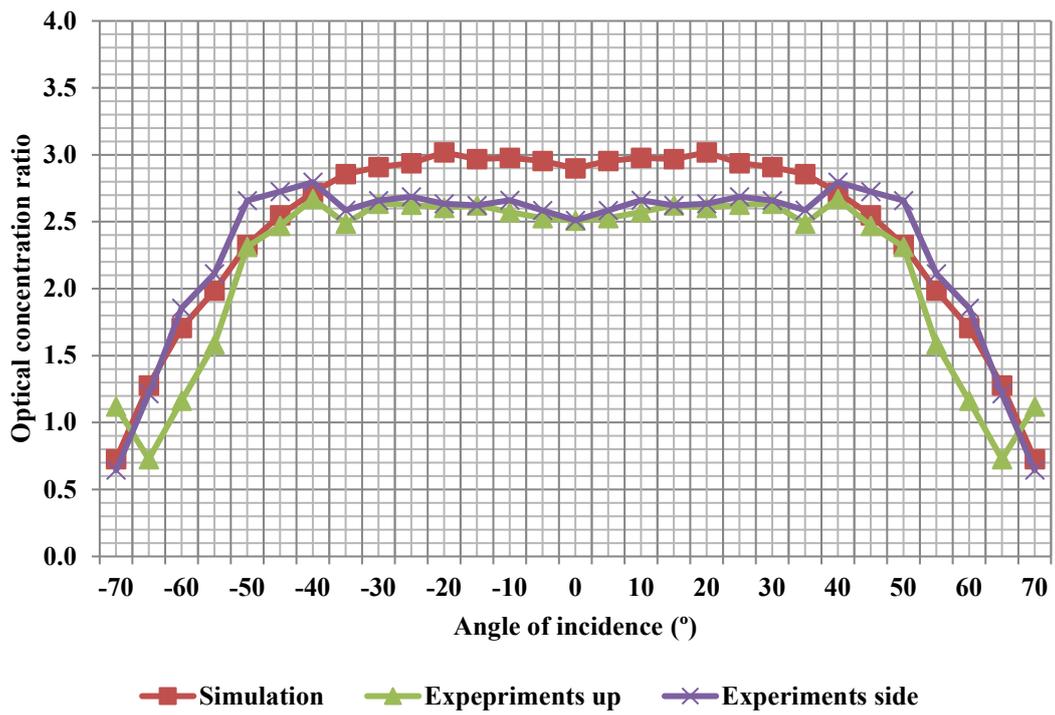
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Figure 6. Measuring the angular acceptance, experimental setup with:
 (a) tabbing wire on the top, (b) tabbing wire on the side

284

GOCRSH_A

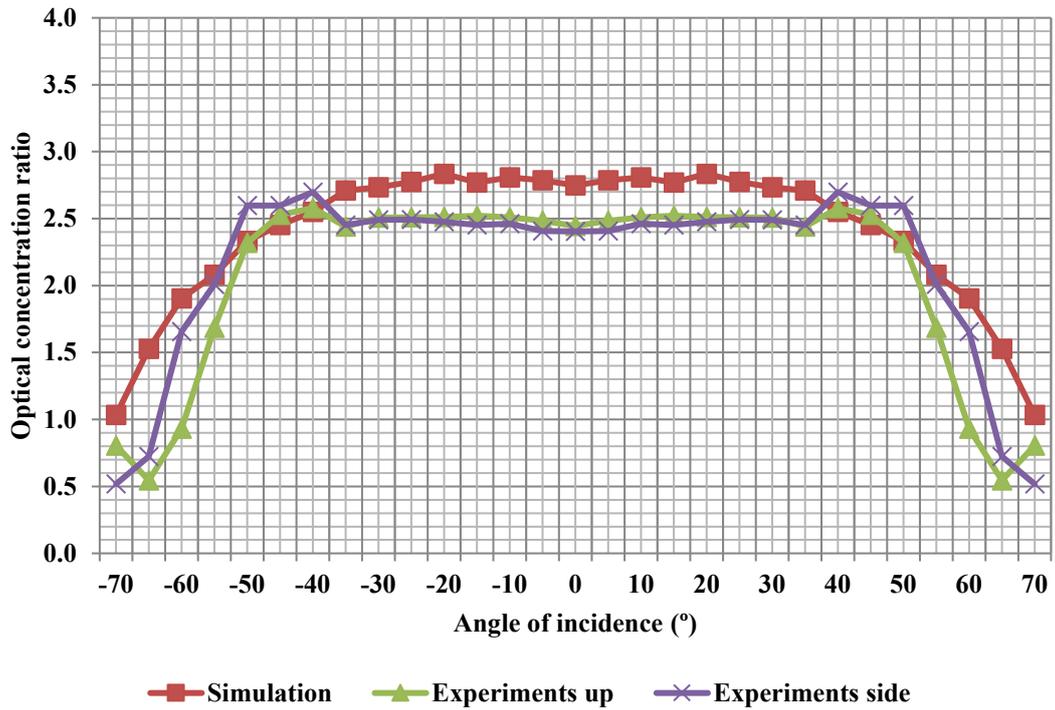


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286

Figure 7. Experimental and simulated angular acceptance of the GOCRSH_A concentrator

GOCRSH_B

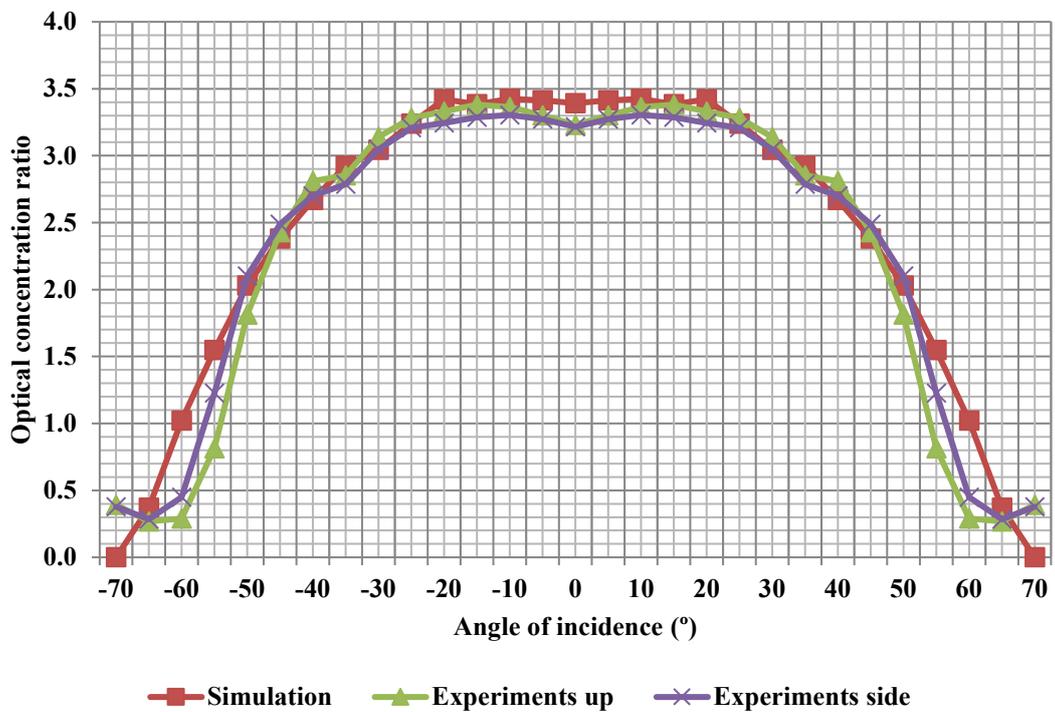


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288

Figure 8. Experimental and simulated angular acceptance of the GOCRSH_B concentrator

GOCRSH_C_{rh}

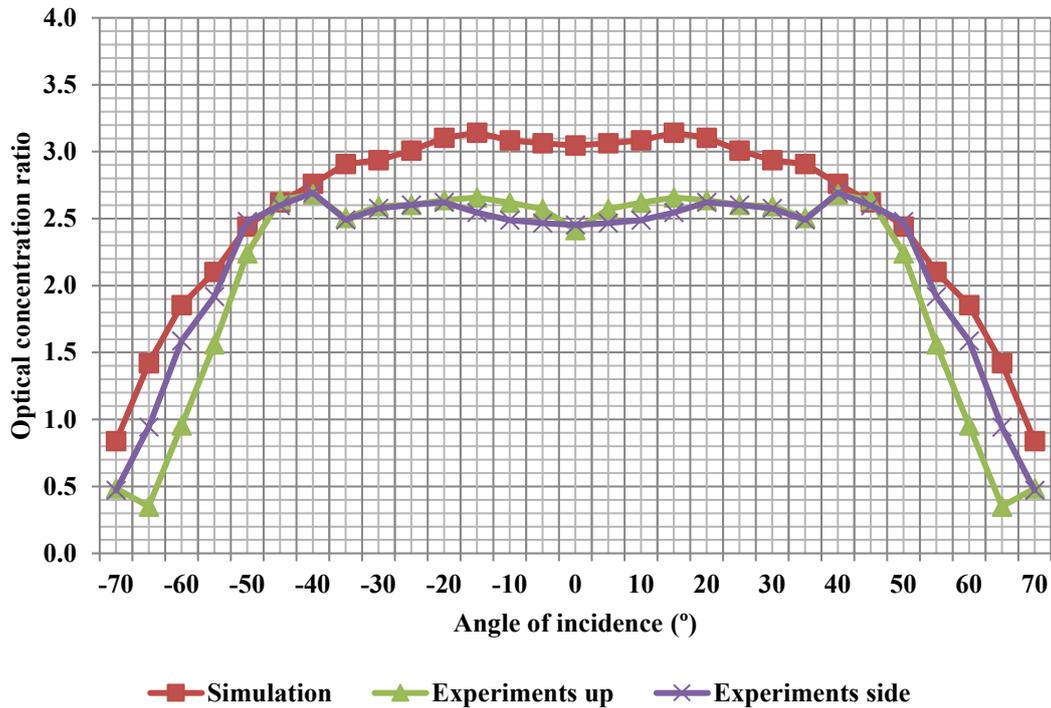


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290

Figure 9. Experimental and simulated angular acceptance of the GOCRSH_C_{rh} concentrator

GOCRSH_D



291

292 Figure 10. Experimental and simulated angular acceptance of the GOCRSH_D concentrator

293

294 Based on the experimental values, the GOCRSH_A, GOCRSH_B, and GOCRSH_D exhibited
295 a general trend. It was observed that the opto-electronic concentration ratios between the angle
296 of incidence of $\pm 35^\circ$ were almost constant at a specific value. The opto-electronic concentration
297 ratio then experienced a peak at $\pm 40^\circ$, before slowly decreasing when the angle of incidence
298 was increased up to $\pm 50^\circ$. Beyond $\pm 50^\circ$, the opto-electronic concentration ratio suffered a
299 gradual drop, to less than 1 at the angle of incidence of $\pm 65^\circ$.

300 For the GOCRSH_C_{rh}, its opto-electronic concentration ratios remain almost constant between
301 the angles of incidence of $\pm 25^\circ$ at around 3.2. The opto-electronic concentration ratio then
302 slowly decreasing when the angle of incidence was increased up to $\pm 40^\circ$. Beyond $\pm 40^\circ$, the
303 opto-electronic concentration ratio suffered a gradual drop, to less than 1 at the angle of
304 incidence of $\pm 60^\circ$.

305 Comparing the angular acceptance obtained in the experiments with the tabbing wire on the
306 side to the results with the tabbing wire on the top, it can be seen that more light reaches the

307 solar cell at angles greater $\pm 40^\circ$ when the tabbing wire is on the side. This is due to the tabbing
 308 wire introducing a shade on the solar cell when placed on top.

309 Comparing the simulated and experimentally obtained optical efficiencies we can see that there
 310 is a high mismatch between $C_{opt\pm 40^\circ}$ and $C_{opt-el\pm 40^\circ}$ for the concentrators GOCSRH_A,
 311 GOCSRH_B and GOCSRH_D (Table 4). While manufacturing errors were expected to lead to
 312 a lower $C_{opt-el\pm 40^\circ}$ compared to $C_{opt\pm 40^\circ}$, a mismatch of 9% and greater is not within the expected
 313 norm. This is possibly due to prototype manufacturing, device assembly and experiment errors.

314

315 Table 4. Comparison of the simulation and experimental results

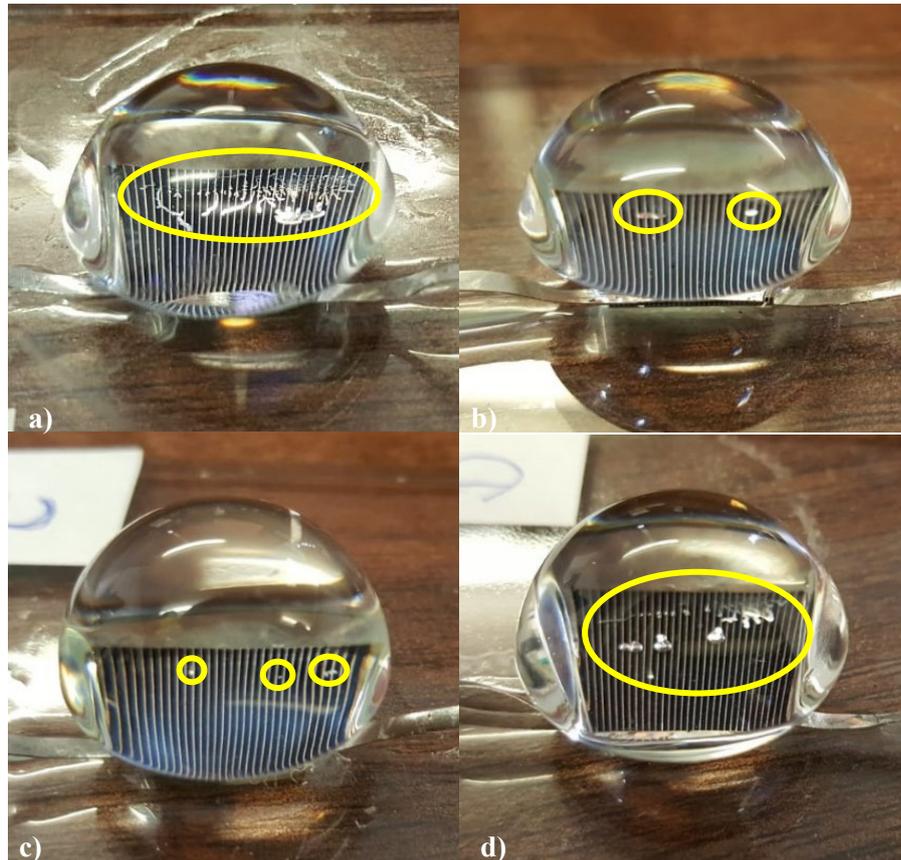
	GOCSRH_A	GOCSRH_B	GOCSRH_C _{rh}	GOCSRH_D
$C_{opt\pm 40^\circ}$	2.91	2.75	3.21	3.01
$C_{opt-el\pm 40^\circ}$ tabbing wire on top	2.58	2.50	3.19	2.59
Error in %	11.34	9.00	0.62	13.95
$C_{opt-el\pm 40^\circ}$ tabbing wire on side	2.64	2.48	3.12	2.55
Error in %	9.28	9.82	2.80	15.28

316

317 Since the GOCSRH_C_{rh} has by far the smallest error between $C_{opt-el\pm 40^\circ}$ and $C_{opt\pm 40^\circ}$, the main
 318 error must be due to the reflection caused by the entrapped material on the solar cell (Figures
 319 7-14). When seen from the top, the bubbles are transparent and show reflective behaviour at
 320 certain angles. It is therefore assumed that the reflections are entrapped air bubbles. However,
 321 the pattern of the bubbles shown in Figure 11 give the impression of a brushed liquid. In fact,
 322 the primer on the GOCSRH_A, GOCSRH_B and GOCSRH_D PV cells was left to dry longer
 323 than on the GOCSRH_C_{rh} PV cell. The primer left to dry too longer might have caused the
 324 impurities on the GOCSRH_A, GOCSRH_B and GOCSRH_D cells.

325 A further source of error are rays which are focused onto the tabbing wire and reflected back.
 326 This can be best observed in the angular response of the GOCSRH_C_{rh}, since the focused light
 327 beam print on the GOCSRH_C_{rh} concentrated cell is narrower. At normal incidence the optical
 328 concentration ratios for both cases, when the tabbing wire is on the side and on top are the
 329 same. The optical concentration ratios obtained when the tabbing wire was on top however are
 330 slightly higher for the angles of incidence between 5° and 45° . This is because with an

331 increasing angle of incidence, the focused light beam is moving away from the tabbing wire
332 when the tabbing wire is placed on top, making ray losses from reflection from the tabbing
333 wire less significant. For the GOCRSH_A, GOCRSH_B and GOCRSH_D the difference is not
334 as pronounced since the focused light beam prints on the GOCRSH_A, GOCRSH_B and
335 GOCRSH_D concentrated cells are larger.

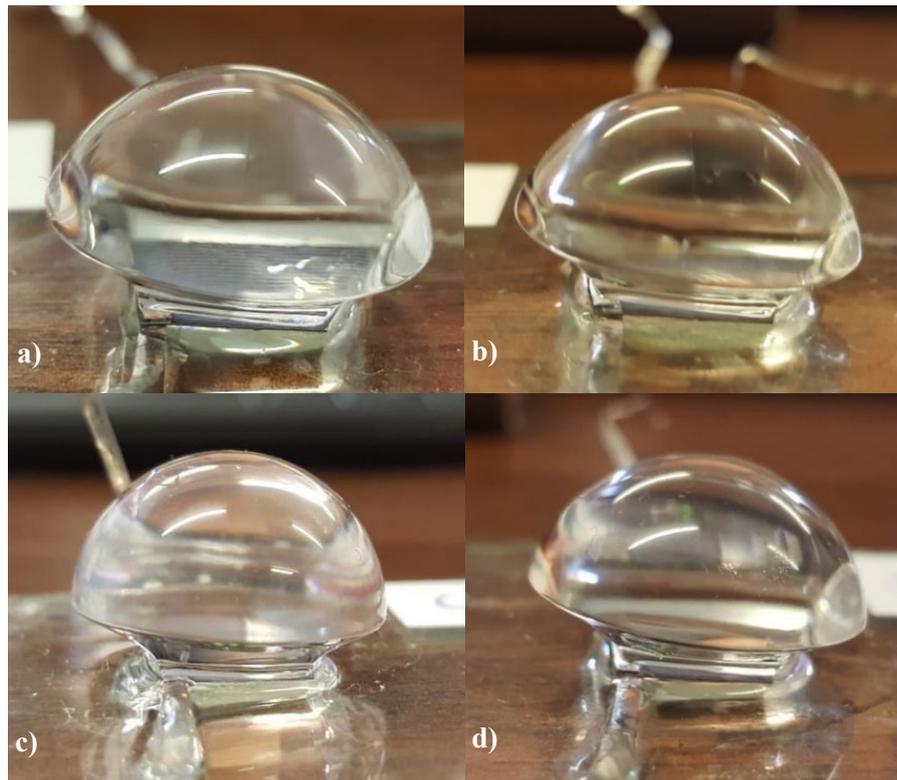


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Figure 11. Integration errors due to air entrapment
a) GOCRSH_A, b) GOCRSH_B, c) GOCRSH_C_{rh}, d) GOCRSH_D

339 A further source of errors is the tilt of the concentrator on the solar cell. This is due to the
340 tabbing wire being positioned on the front and the back contact of the cell at the same cell side.
341 Thus, on one side of the cell, the position of the concentrator was 0.2-0.3 mm higher than on
342 the other side (Figure 12). Furthermore, a misalignment of the concentrators and on the solar
343 cells leads to ray losses. Whilst a slight misalignment can be seen between the GOCRSH_C_{rh}
344 and the solar cell (Figure 13), less visible misalignments of the other concentrators are possible.
345 Further possible errors include: soldering errors, error in the 3D model created from MATLAB
346 coordinates, error introduced during the CNC machining and polishing of the prototype,
347 positioning error of the device on the variable slope during the experimental analysis and
348 precision error of the used measuring devices.



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Figure 12. Integration errors due to the tilt of the GOCRSH concentrators
 a) GOCRSH_A, b) GOCRSH_B, c) GOCRSH_C, d) GOCRSH_D

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Figure 13. Integration errors due to misalignment between the concentrator and the cell

356 **4.3 Thermal characteristics of the GOCRSH**

357 When exposed to sunlight over a period of time, the temperature of the solar cell increases.

358 This is due to the difference in the energy of the absorbed photons and the photogenerated

359 electrons being emitted in the form of heat in the PV material. An increase in irradiance leads
360 to more absorbed photons and thus to more thermal losses. An increase in cell temperature
361 however, has a negative impact on the cell performance.

362 For the thermal analysis, the GOCRSH_A concentrating device was chosen as a representation
363 of the GOCSRH designs due to the opto-electronic concentration ratio at normal incidence
364 being similar for GOCRSH_A, GOCRSH_B and GOCRSH_D. A concentrator with an opto-
365 electronic concentration ratio of around 3.0x (RACPC) and a concentrator with an opto-
366 electronic concentration ratio of around 3.3x were tested for their thermal performance by Abu-
367 Bakar et al. (2015) and Sellami (2013) respectively. Whilst the increase in temperature on the
368 cell also depends on the flux distribution on the solar cell and on the point of measurement, the
369 results obtained by Abu-Bakar et al. (2015) and Sellami (2013) can be taken as a guide for the
370 GOCRSH_C_{th}.

371 During the experiment the irradiance was set to 1000 W/m² and the room temperature was
372 maintained at 25 °C. Since it is not possible to attach the thermocouple directly to the cell, a
373 type K thermocouple was attached to the glass substrate at the back-side of the cell at and the
374 cell temperature was measured via an ammeter. Although glass has a low thermal transmission
375 of between 0.76 – 1 W/mK (Schott Advanced Optics, 2018; Tafakkori and Fattahi, 2021), this
376 test arrangement was verified by a 3D heat transfer model by Sellami (2013).

377 The room temperature, cell temperature and the *I-V* curve of the solar cell were recorded every
378 15 min over a period of 4.5 hours. The temperature settled at around 54.2 °C after 3 hours
379 (Table 5). The maximum power (P_{MMP}) of the GOCRSH_A concentrating device reduced from
380 26.41 mW to 23.47 mW, which is a total of 10.8%. Comparatively, a maximum temperature
381 of the solar cell of 57 °C and a 13.7% reduction in power were recorded with the RACPC (Abu-
382 Bakar et al., 2015), whilst for the H3 SEH a maximum temperature of 56.25°C and a 13.4%
383 power reduction were recorded (Sellami, 2013). Thus, a similar reduction in power can be
384 assumed for the GOCRSH_C_{th} due to its similar opto-electronic concentration ratio at normal
385 incidence as the RACPC and the H3 SEH. Table 5 shows the change in temperature and P_{MMP}
386 of the GOCRSH_A cell over time. However, it can be seen that the reduction of maximum
387 power of GOCRSH_A was the lowest when compared with the RACPC and SEH concentrator
388 under the same experimental setting.

389 The temperature coefficient for the maximum power, the maximum voltage and the maximum
 390 current of the GOCRSH_A cell were determined based on these results. These values were
 391 calculated by determining the ratio of change in each parameter with respect to the change in
 392 temperature (Abu-Bakar et al., 2015; Mammo et al., 2013). It was found that the maximum
 393 power coefficient was $-0.0963 \text{ mW}/^\circ\text{C}$, the maximum voltage coefficient was $-0.0017 \text{ mV}/^\circ\text{C}$
 394 and the maximum current coefficient was $-0.02 \text{ mA}/^\circ\text{C}$.

395 Table 5. Variation of the maximum power in relation to the change in cell temperature

Time in h	Room temperature in $^\circ\text{C}$	Cell temperature in $^\circ\text{C}$	I_{sc} in mA	V_{oc} in V	P_{MPP} in mW	FF	Efficiency
0.00	24.54	25.00	59.01	0.60	26.41	0.75	0.26
0.25	24.53	38.40	58.00	0.58	25.07	0.74	0.25
0.50	25.04	47.10	58.20	0.56	24.28	0.74	0.24
0.75	25.07	50.10	58.69	0.55	23.93	0.74	0.24
1.00	25.11	50.90	58.64	0.55	24.03	0.74	0.24
1.25	25.37	52.60	58.59	0.55	23.86	0.73	0.24
1.50	25.30	53.00	58.50	0.55	23.76	0.74	0.24
1.75	25.00	52.80	58.47	0.55	23.81	0.73	0.24
2.00	25.20	53.20	58.45	0.55	23.75	0.74	0.24
2.25	24.97	53.00	58.38	0.55	23.75	0.75	0.24
2.50	25.14	53.60	58.44	0.55	23.70	0.74	0.24
2.75	25.15	53.60	58.51	0.55	23.77	0.74	0.24
3.00	25.23	54.20	58.49	0.55	23.70	0.74	0.24
3.25	25.26	53.80	58.51	0.55	23.80	0.73	0.24
3.50	25.16	54.20	58.44	0.55	23.59	0.74	0.24
3.75	25.63	54.40	58.53	0.55	23.58	0.74	0.24
4.00	25.47	54.20	58.53	0.55	23.70	0.74	0.24
4.25	25.18	55.20	58.43	0.55	23.47	0.74	0.23
4.50	25.33	54.50	58.42	0.55	23.57	0.74	0.24

396 5. Summary and conclusions

397 Energy services are vital to inhibiting the COVID-19 pandemic especially in developing
 398 countries. Solar energy can be harnessed for use in those countries and one way to do it is by
 399 using CPV technology. This technology has been shown to lessen the impact on the

400 environment by substituting part of the PV material with solar PV concentrators. There are
401 numerous concentrator designs developed by researchers and one of them is the GOCRSH
402 concentrator.

403 In this paper, the experimental analysis of the GOCRSH_A GOCRSH_B, GOCRSH_C_{th} and
404 GOCRSH_D was carried out. Firstly, the assembly process of the prototypes was described.
405 Secondly, the prototypes were analysed indoors under the solar simulator showing an opto-
406 electronic concentration ratio of 2.90x, 2.75x, 3.39x, 3.05x under normal incidence and 2.51x,
407 2.4x, 3.22x, 2.45x when averaged for the angular range of $\pm 40^\circ$ the GOCRSH_A GOCRSH_B,
408 GOCRSH_C_{th} and GOCRSH_D respectively. A P_{MPP} ratio under normal incidence of 2.9x,
409 2.6x, 3.9x and 2.7x was observed with the GOCRSH_A GOCRSH_B, GOCRSH_C_{th} and
410 GOCRSH_D respectively.

411 Compared to the $C_{opt_0^\circ}$ values obtained from the simulation analysis, the experimentally
412 determined $C_{opt-el_0^\circ}$ showed a smaller concentration ratio by 13.5%, 12.7%, 5.0% and 16.7%
413 for the GOCRSH_A GOCRSH_B, GOCRSH_C_{th} and GOCRSH_D respectively. The
414 mismatch between the average $C_{opt-el\pm 40^\circ}$ and the average $C_{opt\pm 40^\circ}$ was found to be lower than
415 the mismatch between the $C_{opt_0^\circ}$ and $C_{opt-el_0^\circ}$ values.

416 The large mismatch between the simulation and experimental results was identified to be due
417 to several manufacturing errors, including entrapped air bubbles on the solar cell, a smaller
418 active area of the cell than the exit aperture of the GOCRSH and the tilt of the concentrators
419 on the cell. Since GOCRSH_C_{th} showed the smallest error, the main cause for the high
420 mismatch values was assumed to be due to the entrapped air on the solar cells, which have been
421 observed mainly for the GOCRSH_A GOCRSH_B and GOCRSH_D concentrating devices.

422 Furthermore, the effect of temperature increase on the solar cell performance was measured for
423 the GOCRSH_A under constant illumination for 4.5 hours. A maximum temperature of 54°
424 and a power decrease of 10.7% were recorded, similar to the power decrease of BICPV
425 concentrators described in literature.

426 Based on the indoor characterizations, it can be concluded that the GOCRSH CPV has the
427 capability to be used as an alternative power source in developing countries. However, to
428 ensure that the design can achieve optimum performance, it is necessary to minimize the errors
429 especially during the manufacturing stage. Moreover, the design must also incorporate a
430 suitable cooling system to minimize the rise in temperature during its operation.

431 **CRedit authorship contribution statement**

432 **Daria Freier Raine:** Conceptualization, Methodology, Software, Writing - original draft,
433 Visualization, Investigation, Writing - Review & Editing. **Firdaus Muhammad-Sukki:**
434 Supervision, Writing - original draft, Writing - Review & Editing. **Roberto Ramirez- Iniguez:**
435 Supervision, Methodology, Funding acquisition, Writing - Review & Editing. **Tahseen Jafry:**
436 Supervision. **Carlos Gamio:** Supervision, Methodology

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